

Serial No. 09/682,852

Doc. No. RD-29,526

2236

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: MANOJ R. SHAH, ET AL

Group Art Unit:

Serial No.: 09/682,852

Examiner:

Filed: 10-24-01

For FAULT CURRENT LIMITER



Handwritten signature and initials, possibly 'H2' and 'E-402'.

INFORMATION DISCLOSURE STATEMENT

- ☒ 1 **Pursuant to 37CFR 1.97(b)**
[within 3 months of national, non-CPA filing or prior to 1st Office Action] *no charge*
- ☐ 2 **Certification Pursuant to 37 CFR 1.97(c)(1)**
[before Final Office Action, Allowance, or other action closing prosecution] *no charge*
- ☐ 3 **Fee Payment Pursuant to 37 CFR 1.97(c)(2)**
[before Final Office Action, Allowance, or other action closing prosecution] *\$180.00*
- ☐ 4 **Certification & Fee Payment Pursuant to 37 CFR 1.97(d)**
[On or before issue fee payment] *\$180.00*

ATTN: BOX PATENT APPLICATION
Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The following are submitted in the above application in compliance with 37 CFR 1.97 and 37 CFR 1.98:

- ☒ 5 A list of documents on form PTO-1449 or Substitute together with copies of each identified document and a translation thereof or a concise explanation of each non-English language document or a Search Report from an International Searching Authority for a patent application filed via the Patent Cooperation Treaty or document(s) cited in the application or the priority application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on the date indicated below.

Date of Deposit

Type or Print Name

Signature

This paper is submitted in accordance with:

- ☒ 6 37 CFR 1.97(b): [within 3 months of national, non-CPA filing or prior to 1st Office Action]
- ☐ 7 37 CFR 1.97(c): [before Final Office Action, Allowance, or other action closing prosecution, whichever is earlier]; and
- ☐ 8 The required Certification made in item 11 below; or
- ☐ 9 The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 15 below.
- ☐ 10 37 CFR 1.97(d): [on or before issue fee payment]; and
- a) The required Certification is stated in item 11 below; and
- b) The \$180.00 fee specified in 37 CFR 1.17(p) for submission of this Information Disclosure Statement is authorized in item 14 below.
- ☒ 11 Certification
- ☐ 12 Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign patent application not more than three months prior to the filing of this Statement; or
- ☐ 13 No item contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this document after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated in 37 CFR 1.56(c) more than three (3) months prior to the filing date of this Statement.
- ☒ 14 Payment of all applicable fees:
- ☒ 15 Please charge all applicable fees associated with the submittal of this Information Disclosure Statement to Deposit Account No. 07-0868

An original and two (2) copies of this document are enclosed.

Respectfully submitted,

22 Oct 2001
Date

General Electric Company
CRD Patent Docket Rm 4A59
P.O. Box 8, Bldg. K-1 - Salamone
Schenectady, New York 12301
Customer Number: 006147

Ann M. Agosti
Attorney - ANN M. AGOSTI
Registration No. 37,372
Phone: (518) 387-7713
or (518) 387-7122

FORM PTO-1449*
(REV. 7-80)
(Title Amended 3/83)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

RD-29,526

SERIAL NO.

09/682,852

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Applicant

MANOJ R. SHAH, ET AL

LIST OF ITEMS

DEC 06 2001

Filing Date

10.24.01

Group

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE, IF APPROPRIATE
	AA 4 0 3 1 4 5 7	06/21/77	OBERBECK			
	AB 4 0 5 8 7 6 1	11/15/77	FRIEDLANDER			
	AC 4 1 2 2 3 8 5	10/24/78	OBERBECK			
	AD 4 1 5 2 6 3 7	05/01/79	OBERBECK, ET AL			
	AE 4 1 5 5 0 3 4	05/15/79	LOGAN			
	AF 4 3 7 7 8 3 3	03/22/83	UDREN			
	AG 4 5 2 3 2 4 9	06/11/85	ARIMOTO			
	AH 4 5 6 6 0 5 3	01/21/86	STRICKLAND, JR			
	AI 5 7 8 9 9 0 7	08/04/98	CASAGRANDE			
	AJ					
	AK					

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	No
	AL						
	AM						
	AN						
	AO						
	AP						

OTHER INFORMATION (Including Author, Title, Date, Pertinent pages. Etc.)

AR	GENERAL ELECTRIC COMPANY "MEANS FOR REDUCING SHORT-CIRCUIT DUTY " CURRENT-LIMITING REACTORS & SPECIAL WINDING ARRANGEMENTS OF COMPONENTS, 1958, 1959 & 1964, 7 SHEETS.
AS	
AT	

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.